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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Dominique SWINNEN, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/52515

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

METHOD FOR PREPARING PARA-PHENYL ALKYNYL BENZALDEHYDES

### **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

**COMMISSIONER FOR PATENTS** ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

| The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed |
|---|
| references are attached, where required, as are either statements of relevancy or any readily available English   |
| translations of pertinent portions of any non-English language references.  |

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

| Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be  |
|--|
| related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies    |
| of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the |
| attached PTO form 1449.  |

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

| Each item of information contained in this information disclosure statement was first cited in any communication    |
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| from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of |
| this statement.   |

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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22850

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## 10/575266 IAP20Rec'd?CT/PTO 11 APR 2006

New U.S. PCT Application Based on PCT/EP04/52515 Dominique SWINNEN, et al. Docket No. 287257 US

#### STATEMENT OF RELEVANCY

- 1) References AO AP & AW AY have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) Reference AQ is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

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SHEET 1 OF 1

| Form PTO 1449<br>(Modified) |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |                   | ATTY DOCKET NO. 287257US0PCT             |               | New U.S. PCT Application<br>Based on PCT/EP04/52515 |                               |  |
|-----------------------------|--|---|-------------------|--|---------------|---|-------------------------------|--|
|                             |  |   |                   | APPLICANT  Dominique SWINNEN, et al.     |               |   |                               |  |
| LIST OF                     | REFE   | RENCES CITED BY A   | PPLICANT          |  |               |   |                               |  |
|                             |  |   |                   | FILING DATE                              |               | GROUP   |                               |  |
|                             |  |   |                   | Herewith                                 |               |   | •                             |  |
|                             |  |   |                   | U.S. PATENT DOCUMENTS                    |               |   |                               |  |
| EXAMINER<br>INITIAL         |  | DOCUMENT<br>NUMBER  | DATE              | NAME                                     | CLASS         | SUB<br>CLASS  | FILING DATE<br>IF APPROPRIATE |  |
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|                             | AP   | 7-138196  | 05/30/95          | JP (with English abstract)               |               |   | NO                            |  |
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|                             |  | OTHER 6   | EFFERENCES (      | Including Author, Title, Date, Pertiner  | nt Pages, et  | (c )  | <b>L</b>                      |  |
|                             | <del></del>  | telesentile that is   |                   |  |               |   | atives Potent PCP             |  |
|                             | GEE Kyle R. et al., "10,5-(Iminomethano)-10,11-dihydro-5 H-dibenzo [a,d] cycloheptene and Derivatives. Potent PCP AW Receptor Ligands", J. Med. Chem., Vol. 36, No. 14, Pages 1938-1946, 1993. |   |                   |  |               |   |                               |  |
|                             | AX   | Database Beilstein Beilstein Institute for Organic Chemistry, Frankfurt-Main, De; XP002272673, See BRN 9202297 & S.V. Klyatskaya et al,: Russ. Chem. Bl., Vol. 51, No. 1, Pages 128-134, 2002.  |                   |  |               |   |                               |  |
|                             | AY   | LUBCZYK, Veronika et al., "Investigations on Estrogen Receptor Binding. The Estrogenic, Antiestrogenic, and Cytotoxic Properties of C2-Alkyl-Substituted 1,1-Bis (4-hydroxyphenyl)-2-phenylethenes", J. Med. Chem., Vol. 45, No. 24, Pages 5358-5364, 2002. |                   |  |               |   |                               |  |
|                             | AZ   | ·   |                   |  | Addi          | tional Refe   | rences sheet(s) attached      |  |
| Examiner                    |  |   |                   |  | Date Con      | Date Considered                                     |                               |  |
|                             |  |   |                   | t citation is in conformance with MPEP 6 | 609; Draw lir | ne through  | citation if not in            |  |
| conformance                 | and no   | ot considered. Include  | copy of this form | with next communication to applicant.    |               |   |                               |  |